

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 10px 0 0 40px;"><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
Application Number				10/772,472	
Filing Date				February 6, 2004	
First Named Inventor				Eppstein et al.	
Group Art Unit				3737	
Examiner Name				Ruth S. Smith	
Attorney Docket Number				15407.105023 US	
Sheet	1	of	6		

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	A1	4,921,475		Sibalis	05/01/90	
	A2	5,611,806		Jang	5/23/95	
	A3	5,983,136		Kamen	9/15/97	
	A4	6,013,318		Hunt et al.	01/11/00	
	A5	6,022,316		Eppstein et al.	02/08/00	
	A6	6,048,337		Svedman	4/11/00	
	A7	6,050,988		Zuck	12/9/98	
	A8	6,071,249		Cunningham et al.	6/6/00	
	A9	6,071,251		Cunningham et al.	6/6/00	
	A10	6,083,196		Trautman et al.	07/04/00	
	A11	6,117,290		Say et al.	9/12/00	
	A12	6,138,044		Svedman	10/24/00	
	A13	6,142,939		Eppstein et al.	11/07/00	
	A14	6,230,051		Cornier et al.	05/08/01	
	A15	6,247,485		Rossi et al.	6/19/01	
	A16	6,251,083		Yum et al.	06/26/01	
	A17	6,290,991		Roser et al.	9/18/01	
	A18	6,334,856		Allen et al.	01/01/02	
	A19	6,352,506		Eppstein et al.	3/05/02	
	A20	6,451,240		Sherman et al.	9/17/02	
	A21	6,508,785		Eppstein	01/21/03	
	A22	6,692,456		Eppstein et al.	02/17/04	
	A23	6,730,028		Eppstein et al.	05/04/04	
	A24	6,906,540		McQuade	12/20/01	
	A25	7,041,057		Faupel et al.	5/9/06	
	A26	7,048,723		Frazier	3/16/01	
	A27	7,070,590		Santini	9/19/00	
	A28	7,108,681		Gartstein	9/14/01	
	A29	7,131,987		Sherman	5/24/02	
	A30	7,141,034		Eppstein et al.	11/28/06	
	A31	7,392,080		Eppstein et al.	6/24/08	

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **2****Complete if Known**

Application Number	<b>10/772,472</b>
Filing Date	<b>February 6, 2004</b>
First Named Inventor	<b>Eppstein <i>et al.</i></b>
Group Art Unit	<b>3737</b>
Examiner Name	<b>Ruth S. Smith</b>
Attorney Docket Number	<b>15407.105023 US</b>

5466802, 1.DOC

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	A32	20040039342		Eppstein	2/26/04	
	A33	20030092982		Eppstein	5/15/03	
	A34	20050165393		Eppstein	7/28/05	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
		Office <sup>2</sup>	Number	Kind Code <sup>3</sup> (if known)				
	A35	WO	00/04821		Spectrx, Inc.	02/03/00		
	A36	WO	00/04832		Spectrx, Inc.	02/03/00		
	A37	WO	00/15102		Spectrx, Inc.	03/23/00		
	A38	WO	00/74767		Altea Technologies, Inc.	12/14/00		
	A39	WO	97/07734		Spectrx, Inc.	03/06/97		
	A40	WO	98/29134		Allen Tech Inc.	07/09/98		
	A41	WO	99/40848		Abbott Labs	08/19/99		

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 6

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.